



S/N 09/637,530

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Clifford P. Stanners et al.

Examiner:

Serial No.: 09/637,530

Group Art Unit: 1645

Filed: August 11, 2000

Docket: 186.009US1

Title: CEA/NCA-BASED DIFFERENTIATION CANCER THERAPY

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COMMUNICATION RE: MISSING PARTS

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

In response to the "Notice to File Missing Parts" (see enclosed copy), we submit the signed Combined Declaration and Power of Attorney along with a check in the amount of \$65.00 to cover the small entity surcharge and a check in the amount of \$511.00 to cover the small entity basic filing fee.

The Applicants note that the two copies of the Declaration and Power of Attorney filed herewith are conflicting. The Applicants intend that the inventive entity include all seven inventors who have executed at least one of the Declarations: Clifford P. Stanners, Christian Ilantzis, Cosme Ordonez-Garcia, Maryam Taheri, Robert A. Screaton, Abraham Fuks, and H. Uri Saragovi. The Applicants will submit a new Declaration as described in MPEP section 201.03 (2<sup>nd</sup> paragraph, 1<sup>st</sup> paragraph which is reproduced below):

Conflicting oaths or declarations filed: If the first executed oaths or declarations that are submitted name different inventive entities (e.g., one declaration names A, B, and C as inventors and a second declaration names D as the inventor) and are filed on the same day, the application will be considered to name the inventors named in both declarations (A, B, C, and D) and a new oath or declaration in compliance with 37 CFR 1.63 including the entire inventive entity will be required. Where an application is filed with an executed declaration under 37 CFR 1.63 naming an inventive entity that is in conflict with another paper filed in the application, such as the transmittal letter, the executed declaration will govern. However, where an executed declaration is never submitted and the application papers are in conflict as to the inventorship, each party identified as an inventor on filing will be considered to have been named as part of the inventive entity. See 37 CFR 1.41(a)(1).

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Applicants assume the application is now in proper order and in condition for examination.

Please direct any inquiries to the undersigned attorney at (612) 349-9592.

If necessary, please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

Respectfully submitted,

CLIFFORD P. STANNERS ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938  
Minneapolis, MN 55402  
(612) 349-9592

Date April 11, 2001

By Ann M. McCrackin

Ann M. McCrackin

Reg. No. 42,858

AMM1:CMG:jms

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on this 11 day of April, 2001.

ANN MCCRACKIN  
Name

Ann M. McCrackin  
Signature



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

*Handwritten:* \$ Seedor  
#  
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Clifford P. Stanners et al.

Title: CEA/NCA-BASED DIFFERENTIATION CANCER THERAPY

Docket No.: 186.009US1

Filed: August 11, 2000

Examiner:

Serial No.: 09/637,530

Due Date: December 11, 2000

Group Art Unit: 1645

Attn: Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

We are transmitting herewith the attached:

- ☒ A return postcard.
- ☒ A check in the amount of \$65.00 to cover the Missing Parts Fee.
- ☒ A check in the amount of \$511.00 to cover the Basic Filing Fee and Additional Claims Fee.
- ☒ A check in the amount of \$695.00 to cover the Extension of Time Fee.
- ☒ Petition for Extension of Time (1 pg.)
- ☒ Communication Re: Missing Parts (2 pg.)
- ☒ A signed Combined Declaration and Power of Attorney ( 9 pgs.).
- ☒ Notice to File Missing Parts (1pg.)

If not provided for in a separate paper filed herewith, please consider this a **PETITION FOR EXTENSION OF TIME** for sufficient number of months to enter these papers and please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

By: *Ann M. McCrackin*  
Name: Ann M. McCrackin  
Reg. No. 42,858  
AMM1:CMG:jms

05/16/2001 TV0111 00000030 190743 09637530

01 FC:210 695.00 CH

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on this 11 day of April, 2001.

*ANN MCCRACKIN*  
Name

*Ann M. McCrackin*  
Signature



#3

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/637,530	08/11/2000	Clifford P. Stanners	186.009US1

21186  
SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH  
P.O. BOX 2938  
MINNEAPOLIS, MN 55402

## FORMALITIES LETTER



\*OC000000005465069\*

Date Mailed: 10/11/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$312.
  - \$312 for 4 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1132.

A copy of this notice MUST be returned with the reply.

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/18/2001 TWD011 00000003 09637530 156.00 OP  
01 FC:202  
Adjustment date: 05/18/2001 TWD011  
04/17/2001 JAD001 00000033 09637530 36.00 OP  
03 FC:203  
04/17/2001 JAD001 00000033 09637530 355.00 OP  
01 FC:201 120.00 OP  
02 FC:202 36.00 OP  
03 FC:203 65.00 OP  
04 FC:205  
Adjustment date: 05/18/2001 TWD011  
04/17/2001 JAD001 00000033 09637530 150.00 OP  
01 FC:202